

# **Data Book**

# AU9520 USB Smart Card Reader Controller Technical Reference Manual

Product Specification
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# **Data Sheet Status**

	This data sheet contains target or goal specifications for product development.				
	This data sheet contains preliminary data; supplementary data may be published later.				
Product specification	This data sheet contains final product specifications.				

# **Revision History**

Date	Revision	Description
Apr 2004	V1.00/A21	Initial release
Apr 2004	V1.01/A21	To correct product name
Jul 2004	V1.02/A21	To modify schematics for WHQL
Aug 2004	V1.02/A21	To modify "4.2 schematics"



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# **Table of Contents**

1	<u>Introduction</u>	6
	1.1 Description	6
	1.2 Features	6
2	Application Block Diagram	7
3	Pin Assignment	8
4	System Architecture and Reference Design	10
	4.1 Block Diagram	10
	4.2 Sample schematics	11
5	Electrical Characteristics	13
	5.1 Recommended Operating Conditions	13
	5.2 General DC Characteristics	13
	5.3 DC Electrical Characteristics for 3.3 volts operation	13
	5.4 Crystal Oscillator Circuit Setup for Characterization	14
	5.5 ESD Test Results	14
	5.6 Latch-Up Test Results	15
6	Mechanical Information	17
7	Abbreviations	18



# **List of Figures**

2.1	Block Diagram	7
3.1	Pin Assignment Diagram	8
4.1	AU9520 USB eToken controller Block Diagram	10
4.2	Sample schematics	11
5.1	Crystal Oscillator Circuit Setup for Characterization	14
5.2	Latch-Up Test Results Diagram	15
6.1	Mechanical Information Diagram	17
	List of Tables	
3.1	<u>Pin Descriptions</u>	9
5.1	Recommended Operation Condition	13
5.2	•	
	General DC Characteristics	13
5.3		13 13
5.3 5.4	General DC Characteristics	



# 1.0. Introduction

## 1.1. Description

AU9520 is a highly integrated single chip, USB Smart Card reader controller. Highly integration enables the lowest BOM cost of USB Smart Card reader. The AU9520 supports multiple international standards including ISO7816 for IC card standard, PC/SC 1.0 for windows smart card standard, Microsoft WHQL, EMV for Europay MasterCard Visa standard and USB-IF CCID standard. Manufacturers can easily create a high-security Smart Card reader by deploying Au9520. The application of AU9520 can be generally applied to Smart Card read/write terminal device, such as ATM, POS terminal, Public telephone, E-Commerce, personal consumption on Internet, personal certification, prepay system, loyalty system...etc.

#### 1.2. Feature

- Support EMV specification.
- Support the Universal Serial Bus Specification, version 1.1.
- Based on ISO7816 implementation
- Support PC Smart Card industry standard PC/SC 1.0
- Support Microsoft Smart Card for Windows
- Meet Microsoft WHQL USB Smart Card Reader requirements
- Include WDM driver to work on Windows 98 and Windows 2000
- Support dual slots for higher security application
- Support T0, T1 protocol, I2C memory card, SLE4418, SLE4428, SLE4432, SLE4442, AT88SC1608 and AT45D041 card.
- Dedicated hardware block implementation for IC and memory card protocols for highest performance
- Implemented as an USB full speed device with bulk transfer endpoint
- Built-in 3.3V regulator for single 5V operation
- Built-in PLL for USB and Smart Card clocks requirement
- Support EEPROM for USB descriptors customization, including VID/PID
- Available in 48-LQFP Package
- Based on USB-CCID class, short APDU level
- Compatible with Microsoft USB-CCID driver
- Support 3V/5V card

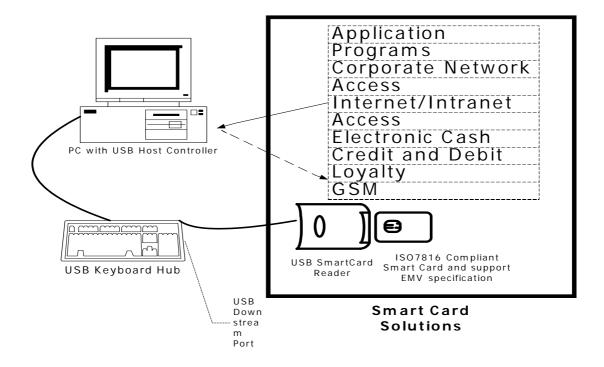


# 2.0. Application Block Diagram

AU9520 is a highly integrated single chip, which is used as USB Smart Card reader or in an embedded USB device in the downstream port of an USB hub. Following is the application diagram of a typical card reader product with AU9520. By connecting the card reader to a ATM or E-Commerce...etc.

## 2.1 Block Diagram

Figure 2.1 Block Diagram

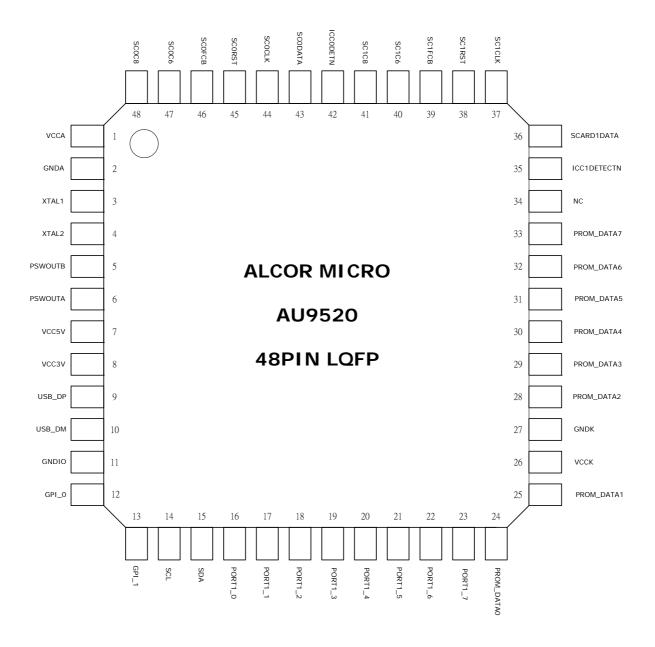




# 3.0. Pin Assignment

The AU9520 is packed in 48-LQFP-form factor. The following figure shows signal name for each pin and the table in the following page describes each pin in detail

Figure 3.1 Pin Assignment Diagram





#### **Table 3.1 Pin Descriptions**

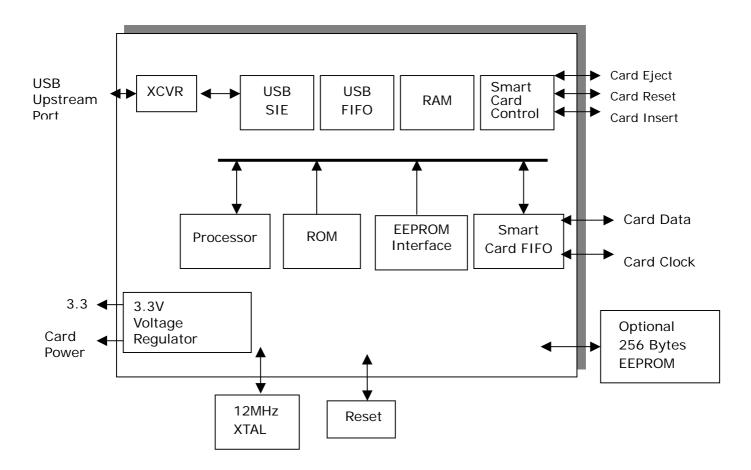
Pin	Pin Name	1/0	Description
1	VCCA	PWR	Analog 3.3V power supply
2	GNDA	PWR	Analog ground.
3	XTAL1	I	Crystal Oscillator Input (12MHz).
4	XTAL2	0	Crystal Oscillator Output (12MHz).
5	PSWOUTB	0	Connect to Slot1 Power Not support in this version
6	PSWOUTA	0	Connect to Slot0 Power.
7	VCC5V	PWR	5V power supply Input
8	VCC3V	PWR	3.3V power supply output
9	USB_DP	1/0	USB D+
10	USB_DM	1/0	USB D-
11	GNDIO	PWR	Chip I/O Ground.
12	GPI_0	I	Reserved(need pull Low)
13	GPI_1	I	Reserved (need pull down)
14	SCL	1/0	EEPROM Clock (need pull high)
15	SDA	1/0	EEPROM Data (need pull high)
16	PORT1_0	ı	Reserved (need pull High)
17	PORT1_1	1/0	General IO 1
18	PORT1_2	0	Slot1 LED
19	PORT1_3	0	Slot2 LED - Not support in this version
20	PORT1_4	0	Device LED
21	PORT1_5	I	Reserved (need pull High)
22	PORT1_6	I	Reserved (need pull Low)
23	PORT1_7	I	General Input 7
24	PROM_DATA0	1/0	Rom data 0
25	PROM_DATA1	1/0	Rom data 1
26	VCCK	PWR	Core power supply 3.3V
27	GNDK	PWR	Core ground
28	PROM_DATA2	1/0	Rom data 2
29	PROM_DATA3	1/0	Rom data 3
30	PROM_DATA4	1/0	Rom data 4
31	PROM_DATA5	1/0	Rom data 5
32	PROM_DATA6	1/0	Rom data 6
33	PROM_DATA7	1/0	Rom data 7
34	NC		
35	ICC1DETN	I	Smart card 1 inserted (Low true) (pull high) - Not support in this version
36	SC1DATA	1/0	Smart card 1 serial data - Not support in this version
37	SC1CLK	1/0	Smart card 1 clock - Not support in this version
38	SC1RST	1/0	Smart card 1 reset - Not support in this version
39	SC1FCB	1/0	Smart card 1 GPIO_0 - Not support in this version
40	SC1C6	1/0	Smart card 1 GPIO_1 - Not support in this version
41	SC1C8	1/0	Smart card 1 GPIO_2 - Not support in this version
42	ICCODETN	I	Smart card 0 inserted (Low true)(pull high)
43	SCODATA	1/0	Smart card 0 serial data
44	SCOCLK	1/0	Smart card 0 clock
45	SCORST	1/0	Smart card 0 reset
46	SC0FCB	1/0	Smart card 0 GPIO_0
47	SC0C6	1/0	Smart card 0 GPIO_1
48	SC0C8	1/0	Smart card 0 GPIO_2



# 4.0. System Architecture and Reference Design

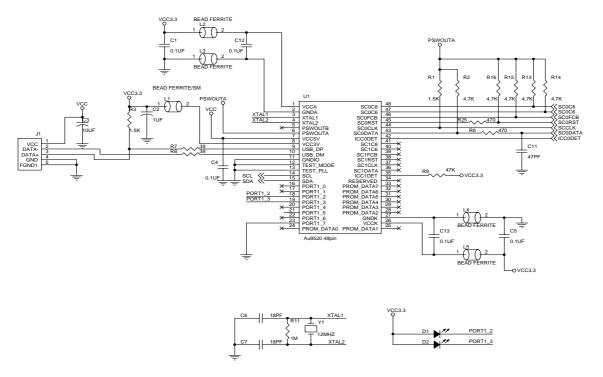
# 4.1. Block Diagram

Figure 4.1 AU9520 USB Dual-Slot USB Smart Card Reader Controller

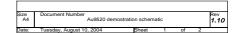


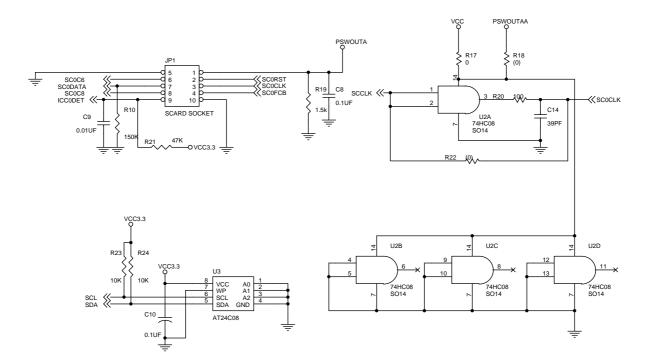


# 4.2 Sample Schematics



Disclaimer: This schematic is for reference only. Alcor Micro Corp. makes no warranty for the use of its products and bears no responsibility for any error that appear in this document. Specifications are subject to change without notice.





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# 5.0. Electronic Characteristics

# **5.1 Recommended Operation Condition**

**Table 5.1 Recommended Operation Condition** 

SYMBOL	PARAMETER	MIN	TYP	MAX	UNITS
V <sub>CC</sub>	Power Supply	4.75	5	5.25	V
V <sub>IN</sub>	Input Voltage	0		$V_{CC}$	V
T <sub>OPR</sub>	Operating Temperature	0		85	°С
T <sub>STG</sub>	Storage Temperature	-40		125	°С

#### 5.2 General DC Characteristics

Table 5.2 General DC Characteristics

SYMBOL	PARAMETER	CONDITIONS	MIN	TYP	MAX	UNITS
I <sub>IL</sub>	Input low current	no pull-up or pull-down	-1		1	μΑ
I <sub>IH</sub>	Input high current	no pull-up or pull-down	-1		1	μΑ
I <sub>OZ</sub>	Tri-state leakage current		-10		10	μΑ
C <sub>IN</sub>	Input capacitance			5		ρF
Соит	Output capacitance			5		ρF
C <sub>BID</sub>	Bi-directional buffer capacitance			5		ρF

# 5.3 DC Electrical Characteristics for 3.3 volts operation

Table 5.3 DC Electrical Characteristics for 3.3 volts operation

SYMBO	PARAMETER	CONDITIONS	MIN	TYP	MAX	UNITS
V <sub>IL</sub>	Input Low Voltage	CMOS			0.9	V
V <sub>IH</sub>	Input Hight Voltage	CMOS	2.3			V
V <sub>OL</sub>	Output low voltage	I <sub>OL</sub> =4mA, 16mA			0.4	V
V <sub>OH</sub>	Output high voltage	I <sub>OH</sub> =4mA,16mA	2.4			V
R <sub>I</sub>	Input Pull-up/down resistance	Vil=0 <sub>V</sub> or Vih=V <sub>CC</sub>		10K/200K		ΚΩ



# 5.4 Crystal Oscillator Circuit Setup for Characterization

The following setup was used to measure the open loop voltage gain for crystal oscillator circuits. The feedback resistor serves to bias the circuit at its quiescent operating point and the AC coupling capacitor, Cs, is much larger than C1 and C2.

Figure 5.1 Crystal Oscillator Circuit Setup for Characterization

#### 5.5 ESD Test Results

**Test Description**: ESD Testing was performed on a Zapmaster system using the Human-Body-Model (HBM) and Machine-Model (MM), according to MIL-STD 883 and EIAJ IC-121 respectively.

- Human-Body-Model stresses devices by sudden application of a high voltage supplied by a 100pF capacitor through 1.5k-ohm resistance.
- Machine-Model stresses devices by sudden application of a high voltage supplied by a 200pF capacitor through very low (0 ohm) resistance.

#### **Test Circuit & Condition**

- Zap Interval: 1 second

- Number of Zaps: 3 positive and 3 negative at room temperature

- Criteria: I-V Curve Tracing

Table 5.4 ESD Data

Model	Mode	S/S	Target	Results
HBM	Vdd, Vss, I/C	15	6000V	PASS
MM	Vdd, Vss, I/C	15	200V	PASS

### 5.6 Latch-Up Test Results

**Test Description**: Latch-Up testing was performed at room ambient using an IMCS-4600 system which applies a stepped voltage to one pin per device with all other pins open except Vdd and Vss which were biased to 5Volts and ground respectively.

Testing was started at 5.0V (Positive) or 0V (Negative), and the DUT was biased for 0.5 seconds.

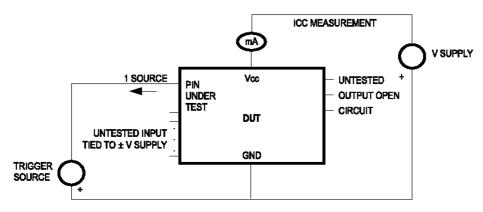
If neither the PUT current supply nor the device current supply reached the predefined limit (DUT=00mA, Icc=100mA), then the voltage was increased by 0.1Volts and the pin was tested again.

This procedure was recommended by the JEDEC JC-40.2 CMOS Logic standardization committee.

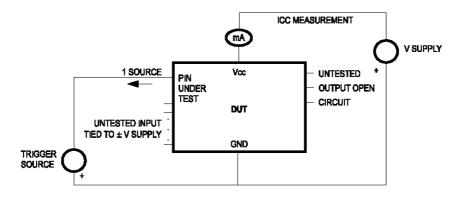
#### Notes:

- 1. DUT: The device under test.
- 2. PUT: The pin under test.

Figure 5.2 Latch-Up Test Results Diagram



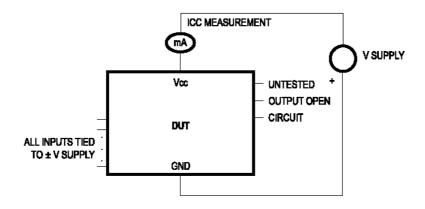
Test Circuit: Positive Input/Output Overvoltage/Overcurrent



Test Circuit: Negative Input/Output Overvoltage/Overcurrent



Figure 5.2 Latch-Up Test Results Diagram (continue)



**Supply Overvoltage Test** 

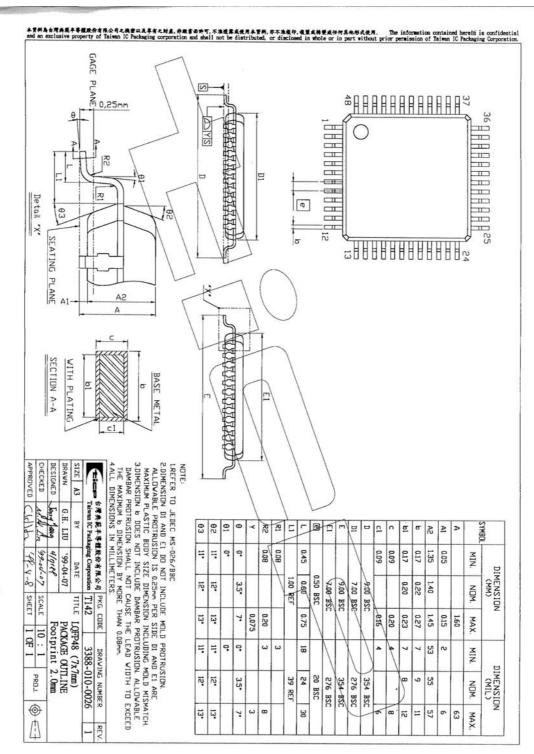
Table 5.5 Latch-Up Data Table

Mode		Voltage (V)/Current (mA)	<b>S/S</b>	Results
Voltage	+	11.0	5	Pass
Voltage	-	11.0	5	Pass
Current	+	200	5	Pass
	-	200	5	Pass
Vdd - Vxx		9.0	5	Pass



# 6.0. Mechanical Information

Figure 7.1 Mechanical Information Diagram





# 7.0. Abbreviation

This chapter lists and defines terms and abbreviations used throughout this specification.

WHQL Windows Hardware Quality Labs

**EMV** Europay MasterCard Visa

**ATM** Automatic Teller Machine

**BOM** Bill of Material

PC/SC This is association name. ( http://www.pcscworkgroup.com/)

VID Vendor ID

PID Product ID

PLL Phase Lock Loop

**GSM** ..Globe System for Mobile Communication

**ESD** Electrostatic Sensitive Device

[MEMO]

#### **About Alcor Micro, Corp**

Alcor Micro, Corp. designs, develops and markets highly integrated and advanced peripheral semiconductor, and software driver solutions for the personal computer and consumer electronics markets worldwide. We specialize in USB solutions and focus on emerging technology such as USB and IEEE 1394. The company offers a range of semiconductors including controllers for USB hub, integrated keyboard/USB hub and USB Flash memory card reader...etc. Alcor Micro, Corp. is based in Taipei, Taiwan, with sales offices in Taipei, Japan, Korea and California.

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